

Illinois Department of Public Health

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6009559 | (X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____ | (X3) DATE SURVEY COMPLETED C 01/27/2021 |
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| NAME OF PROVIDER OR SUPPLIER EFFINGHAM REHAB & HEALTH C CTR | STREET ADDRESS, CITY, STATE, ZIP CODE 1610 NORTH LAKEWOOD DRIVE EFFINGHAM, IL 62401 |
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| S 000 | Initial Comments Complaint Investigation 2150427/IL130342 | S 000 | | |
| S9999 | Final Observations Statement of Licensure Violations 300.610a) 300.1210b)5) 300.1210c) 300.3240a) Section 300.610 Resident Care Policies a) The facility shall have written policies and procedures governing all services provided by the facility. The written policies and procedures shall be formulated by a Resident Care Policy Committee consisting of at least the administrator, the advisory physician or the medical advisory committee, and representatives of nursing and other services in the facility. The policies shall comply with the Act and this Part. The written policies shall be followed in operating the facility and shall be reviewed at least annually by this committee, documented by written, signed and dated minutes of the meeting. Section 300.1210 General Requirements for Nursing and Personal Care b) The facility shall provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychological | S9999 | <p>Attachment A Statement of Licensure Violations</p> | |

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| Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE |
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| S9999 | <p>Continued From page 1</p> <p>well-being of the resident, in accordance with each resident's comprehensive resident care plan. Adequate and properly supervised nursing care and personal care shall be provided to each resident to meet the total nursing and personal care needs of the resident. Restorative measures shall include, at a minimum, the following procedures:</p> <p>5) All nursing personnel shall assist and encourage residents with ambulation and safe transfer activities as often as necessary in an effort to help them retain or maintain their highest practicable level of functioning.</p> <p>c) Each direct care-giving staff shall review and be knowledgeable about his or her residents' respective resident care plan.</p> <p>Section 300.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident. (Section 2-107 of the Act)</p> <p>These regulations are not met as evidenced by:</p> <p>Based on interview and record review the facility failed to safely transfer 1 of 3 (R6) residents reviewed for transfers in the sample of 7. This failure resulted in a displaced proximal humerus to R6's left arm requiring Emergency Room (ER) evaluation and treatment on 1/20/21 along with pain management therapy.</p> <p>Findings Include:</p> <p>A Minimum Data Set (MDS) Dated 2/29/20</p> | S9999 | | |

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| S9999 | <p>Continued From page 2</p> <p>documents R6's admission date to the facility as 9/20/16. R6's Brief Interview for Mental Status Score is documented as "99," indicating she is not cognitively intact. R6's "Transfer Status" as well as "Bed Mobility" is documented as requiring "Total Dependence" of "Two + persons physical assist." The "Functional Status" of "Walk in Room" and/or "Walk in Corridor" is documented as "Activity did not occur."</p> <p>R6's Plan of Care with a "Start Date" of 5/3/19 documents, "R6 uses a hooyer (mechanical) lift, wheelchair, and assist of 2 for transfers."</p> <p>"Nurse's Notes" made by V16 (Licensed Practical Nurse, LPN) dated 1/20/21 at 7:15 AM document, R6 was noted to have red/purple bruising near existing blue/purple bruising from previous skin tear. New onset bruising is documented as extending to top R6's left shoulder to lower forearm with swelling noted. V21 (Physician) notified and awaiting return call.</p> <p>"Nurse's Notes" made by V16 dated 1/20/21 at 11:30 AM document a new order for a venous doppler study of R6's left upper extremity and x-ray of her left elbow and shoulder.</p> <p>R6's left shoulder x-ray report dated 1/20/21 documents the findings of, "A complete fracture through the proximal humerus is identified just beneath the humeral head. The fracture does not involve the articular surface. Superior medical displacement of the distal fracture fragment is noted. The glenohumeral and acromioclavicular articulations appear intact. Diffuse osteopenia is noted. Soft tissue swelling is present."</p> <p>A local Emergency Room (ER) "After Visit Summary" dated 1/20/21 documents R6</p> | S9999 | | |

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| S9999 | <p>Continued From page 3</p> <p>presented to ER with the reason for visit being an "arm injury." R6 was diagnosed with "Fracture of the surgical neck of the left humerus, shoulder fracture." Musculoskeletal assessment documents, "There is marked tenderness over the proximal left humerus and severe pain with passive movement of the left shoulder. There is moderate tenderness diffusely over the distal half of the humerus, elbow, forearm, and wrist." Treatment documented as being completed is a left arm sling applied, along with morphine and ordansetron medications administered.</p> <p>An office visit note dated 1/22/21 by V22 (Physician) documents R6 was seen for evaluation of a left arm injury. V22 documents imaging studies show a "fracture of the proximal humerus with 100% displacement of the head in relation to the shaft." V22 documents a treatment plan as, "Nonoperative treatment. For comfort we will get a shoulder immobilizer for her to use. Follow-up will be in 4-weeks."</p> <p>Medication Administration Records documents on 1/21/21 at 8:00 PM R6 was administered Tylenol 1000 milligrams (mg) by mouth for the reason listed as "arm pain." Another entry made on 1/22/21 at 11:30 AM documents R6 was administered "Norco" (Hydrocodone/Acetaminophen) 5/325 mg by mouth with the reason listed as "arm pain."</p> <p>On 1/27/21 at 12:11 PM, V21 (Physician) states he would expect the facility to follow R6's plan of care for all aspects of care including transfer status.</p> <p>On 1/22/21 at 11:05 AM, V12, Certified Nurse Assistant (CNA) states that R6 was in bed when she arrived to work on 1/20/21. V12 states that</p> | S9999 | | |

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| S9999 | <p>Continued From page 4</p> <p>R6 was her normal status and she did not notice anything abnormal. V12 states that R6 was in a gown and had some previous bruising to a skin tear area on her left arm from earlier in the month. V12 states that herself and V13 got R6 out of bed and into her wheelchair that morning by lifting her under her arms. V12 states later in the morning herself and V14 then again transferred R6 from her wheelchair into a shower chair by lifting her under her arms. V12 states she left the shower room and V14 was going to bathe R6. V12 states she has worked at the facility since May 2020 and was not aware R6 was to be transferred with a hoier lift. V12 states she reported to V1 she had not in fact used a lift and V1 instructed her one was to be used due to R6's brittle bones. V12 states in the past she has used a lift to transfer R6 maybe a total of 4 times in her employment during times when R6 was positioned awkwardly to lift. V12 states she was notified by V1 on 1/20/21 that she was suspended pending investigation outcome. V12 states she has been trained in abuse and if she observes an injury of unknown origin or abuse of any sort she would report it to the nurse and Director of Nursing immediately.</p> <p>On 1/26/21 at 1:33 PM V13, CNA states that she was working 1st shift on 1/20/21. V13 states she went to R6 room to get her out of bed. V13 states R6 was in a gown and was acting her normal self, with no abnormal or new onset bruising noted. V13 states herself and V12 transferred R6 out of bed in put her into her wheelchair by lifting her under her arms. V13 states a gait belt was not used. V13 states she had no further interaction with R6 the rest of the day. V13 states she was notified by V1 via phone that evening that she was suspending pending investigation related to R6 sustaining a fracture. V13 states she has</p> | S9999 | | |
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| S9999 | <p>Continued From page 5</p> <p>since learned that R6 was to be transferred via hooyer lift by education that has been provided by V1. V13 states she just wasn't aware. R6 states the resident transfer status can be found in their chart.</p> <p>On 1/26/20 at 2:23 PM, V14, CNA states she was working on 1/20/21 when R6's fractured arm was discovered. V14 states herself and V12 transferred R6 from her wheelchair to the showerchair in R6's room by lifting her under her arms, not using a gait belt. V14 States R6 was wheeled to the shower room and once undressed purple bruising was observed to R6's left shoulder, arm and part of her chest. V14 states V16 Licensed Practical Nurse was notified and examined R6. V14 states R6 seemed to be her normal self pretty much, and guarded the extremity slightly more than usual, maybe, but has very low cognitive function. V14 states V16 stated she would notify the physician and to be careful with the extremity during her shower. V14 states the shower was completed without incident and herself and V17 transferred R6 back into her wheelchair by picking her up under her arms again. V14 states she was contacted by V1 later that evening and notified she was suspended pending investigation. V14 states a 2 person physical transfer is her normal method of transferring R6, although she states she knows some staff use the hooyer for her though. V14 states you can find out a resident transfer status by checking their chart. V14 states she since has had to complete transfer training prior to returning to work.</p> <p>On 1/22/21 at 2:14 PM, V16 Licensed Practical Nurse states she was working the morning R6 fracture was discovered. V16 states around 7:15 AM she was notified that V14 needed her in the</p> | S9999 | | |

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| S9999 | <p>Continued From page 6</p> <p>shower room. V16 states she entered the shower room and witnessed R6 sitting in the shower chair. V16 states R6 left elbow was swollen and ruddy red with her left shoulder and there was purple bruising spreading onto her chest. V16 states R6 was guarding her arm and V14 reported no incident to cause injury had occurred that she was aware of and that the arm was bruised when she undressed her to be bathed. V16 states R6 has a history of Deep Vein Thrombosis (DVT) and her thought was that with her skin tear she had possibly developed a DVT. V16 states she instructed V14 to be very careful with R6's extremity getting her dressed and she was going to call V21 (Physician). V16 states she called V21 and a venous doppler and X-ray was ordered. V16 states outpatient x-ray services came to the facility and performed the studies. V16 states she assisted the x-ray technician with the studies and after x-raying the shoulder the technician asked if R6 had a history of a dislocated or broken shoulder. V16 said not to her knowledge., but was shown the x-ray which looked to show a fracture. V16 states V21 was notified along with V1 and R6's family with orders to sent to the ER for eval.</p> <p>On 1/22/21 at 10:10 AM, V1, Director of Nursing (DON) states upon her arrival to work on 1/20/21 around 9AM she was notified by staff that R6 had bruising to her left arm. V1 states she went to R6's room where she observed purple bruising to R6's arm. V1 states R6 did not appear to be in any discomfort or distress at that time. V1 states staff reported they did not know what had happened, they just noticed the bruising when they undressed her to shower her this morning. V1 states an investigation of unknown injury was initiated. V1 states that R6 is confused and dependent on staff for all care. V1 states that R6</p> | S9999 | | |

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| S9999 | <p>Continued From page 7</p> <p>utilizes a hoier lift for all transfers. V1 states that night shift staff interviews note R6 was her normal self with no bruising or abnormalities noted. V1 states that V13 is the staff member who reported dressing R6 on 1/20 in the am with no concerns noted. V13 and V12 reported transferring R6 from her bed to her wheelchair on 1/20 with no incident or concerns noted. V12 and V14 reported transferring R6 from her wheelchair to her shower chair on 1/20 with no incident. V14 reported bruising to V16 while undressing R6 for her shower. V1 states V12, V13, and V14 were all suspended pending the investigation. V1 states that R6 does have a roommate, but the roommate is confused and unable to give detail on any occurrence that happened.</p> <p>In a letter dated 1/25/21 directed to the Illinois Department of Public Health, states that the letter is to serve as a follow up to an incident that occurred on 1/20/21 involving R6. Following facility investigation their conclusion documents R6 sustained injury as a result of being improperly transferred approximately three times via two person axillary lift. The report documents all parties were suspended during the investigation, and completed the "Limited Resident Lift Program" prior to return of duty. A summary of care provided post incident documents R6 was transferred to the local Emergency Room for evaluation and treatment where she later returned to the facility that day and was seen by V22 (Physician-Orthopedic) on 1/22/21 where it was determined surgical repair of the fracture would be contraindicated and a supportive sling was prescribed. The letter documents the facility has taken measures to prevent further incidents as such by providing staff education and also updated R6's plan of care.</p> | S9999 | | |

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| S9999 | <p>Continued From page 8</p> <p>Review of the not dated "Limited Resident Lift Program" documents the purpose for the program as, "This policy describes ways to ensure that employees use safe resident handling and movement techniques at (Company) facilities for tasks that are designated as high-risk for safe resident handling and movement injuries." The same policy goes on to list one of the goals of the program as, "Reduce the injury potential for both the resident and caregiver."</p> <p>(B)</p> | S9999 | | |