

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6000640	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 08/11/2017
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NAME OF PROVIDER OR SUPPLIER BALLARD RESPIRATORY AND REHAB	STREET ADDRESS, CITY, STATE, ZIP CODE 9300 BALLARD ROAD DES PLAINES, IL 60016
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S 000	Initial Comments Statement of Licensure Violations	S 000		
S9999	Final Observations Statement of Licensure Violations 300.1210b) 300.1210d)3) 300.3240a) Section 300.1210 General Requirements for Nursing and Personal Care b) The facility shall provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychological well-being of the resident, in accordance with each resident's comprehensive resident care plan. Adequate and properly supervised nursing care and personal care shall be provided to each resident to meet the total nursing and personal care needs of the resident. Section 300.1210 General Requirements for Nursing and Personal Care d) Pursuant to subsection (a), general	S9999	<p>Attachment A Statement of Licensure Violations</p>	

Illinois Department of Public Health
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

09/05/17

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S9999	<p>Continued From page 1</p> <p>nursing care shall include, at a minimum, the following and shall be practiced on a 24-hour, seven-day-a-week basis:</p> <p>3) Objective observations of changes in a resident's condition, including mental and emotional changes, as a means for analyzing and determining care required and the need for further medical evaluation and treatment shall be made by nursing staff and recorded in the resident's medical record.</p> <p>Section 300.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident.</p> <p>These Regulations were not met as evidenced by:</p> <p>Based on interview and record review, the facility failed to identify and treat a resident's injury of unknown origin (R45) and failed to complete a thorough head, neck and mouth assessment for one resident (R13) with jaw swelling,</p>	S9999		
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S9999	<p>Continued From page 2</p> <p>This applies to two of 18 residents (R13, R45) reviewed for change of condition, in a sample of 69.</p> <p>These failures resulted in three days of pain and a delay in treatment of a humerus fracture (upper arm fracture) for R45. In addition, R13 required acute care for IV (intravenous) antibiotic treatment for a significant infection.</p> <p>Findings include:</p> <p>1. Review of R45's medical record (Face Sheet) documents in part, R45 was admitted to the facility from acute care hospital with diagnoses including but not limited to: Cerebrovascular Disease, Anoxic Brain Damage, Chronic Respiratory Failure, Tracheostomy Status and Paraplegia. An additional diagnosis of Displaced Comminuted Fracture of Shaft of Humerus, Left Arm was added to R45's Face Sheet on 03/01/2017.</p> <p>R45's MDS (Minimum Data Set) of 02/07/2017 notes the following: -Cognitive Skills for Daily Decision Making: "3" or severely impaired. A Brief Interview for Mental Status (BIMS) was not completed because resident is rarely/never understood. -Activities of Daily Living (ADL): Bed Mobility, Transfer and Toilet Use "4/3" or total dependence with two+ persons physical assist.</p> <p>Review of the facility's "Final Incident Investigation Report" of 03/08/2017 notes in part, "On 3/1/17, Nurse on duty noted swelling and redness on the left upper extremity, near shoulder of the resident. Upon assessment resident would grimace when area is touch(ed). MD (Medical</p>	S9999		
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S9999	<p>Continued From page 3</p> <p>Doctor) informed and ordered resident to be sent out to hospital for further evaluation. Per hospital ER (Emergency Room) doctor, resident will be admitted for left humeral fracture. Initial investigation initiated."</p> <p>"57 y.o. (year old) admitted to the facility for long term care. Resident dependent on all ADLs (Activities of Daily Living), bed mobility, transfers and toileting. Resident incontinent of B/B (bowel/bladder). Resident aphasic, unable to make needs known verbally. Resident with contractures on (to) extremities and currently on restorative program for PROM (Passive Range Of Motion). On 3/1/17 resident was sent out to hospital for evaluation and treatment of the left arm. In the hospital x-ray(s) was (were) done for (of) resident's left humerus, elbow, wrist and hand. In the mid shaft result (humerus) revealed comminuted acute fracture with angulation. Staff stated they did not noticed(notice) the swelling and redness to the left arm. There is also no report of any incident that occurred. However, the resident's (family member) who came to visit on 2/26/17, noticed that the arm was "loose." (Family Member) brought this to the attention of the wound nurse present in the room at that time. Nurse assessed the arm and stated that (nurse) did not noticed (notice) any redness or indication of non-verbal pain. There is slight swelling of the elbow. Nurse stated (nurse) did not call MD as presumed there is nothing wrong with the arm.</p> <p>E26 (Nurse, 07/19/2017 at 1:06 PM) said in part, E26 assessed R45's arm because R45's family member told E26 that R45's arm was swollen, resident was experiencing pain and family member thought arm was fractured. E26 said R45's hand was swollen, however, R26 didn't document it in R45's record or notify R45's nurse and physician regarding the swelling. E26 said: "I</p>	S9999		

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S9999	<p>Continued From page 4</p> <p>was new to the facility, I was busy. I should have documented the record and notified (R45's) nurse and doctor."</p> <p>E35 (Nurse Practitioner, 07/27/2017 at 1:50 PM) said in part, E35 was asked to see R45 on 03/01/2017 because resident's arm "didn't look right." E35 said R45's left arm was swollen, resident was grimacing with movement. E35 described R45's as "looked dislocated." E35 said E35 should have been notified by E26 when E26 noted swelling to R45's arm three days earlier.</p> <p>Review of Progress Note (03/01/2017, written by E35) notes in part: "HPI (History of Present Illness): Patient seen and examined this morning per nursing request. Nursing reports swelling of left arm with pain upon movement that was noted this morning. Patient given norco for pain. Nursing denies any falls or incidents related to left arm."</p> <p>"Extremities: +left arm swelling. +left arm appears dislocated. +left arm pain upon rom (range of motion) evidenced by grimacing."</p> <p>"Assessment and Plan: Left arm unknown possible injury-Send to hospital for Eval. (evaluation)."</p> <p>2. The clinical record documents R13 as a 55 year old resident admitted to the facility on 2/22/17. R13 has diagnoses of, but not limited to acute and chronic respiratory failure, tracheostomy, dependence on respirator, diabetes mellitus type 2, heart failure, and hemiplegia and hemiparesis following cerebral infarction. The MDS (Minimum Data Set) dated 6/15/17, section C100 Cognitive Skills for Daily Decision Making notes R13 as severely impaired.</p>	S9999		

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S9999	<p>Continued From page 5</p> <p>Progress Notes for R13 dated 5/18/17 document that the resident (R13) had a blood clot flowing from the mouth which was seen by the respiratory therapist. The incident was relayed to the supervisor. He checked the resident and could find out a wound on the side of lips. Surveyor is unclear as to the meaning of this note. Resident was on observation after that.</p> <p>Progress Note dated 5/24/17 by E35 NP (Nurse Practitioner) documents in part, Patient R(13) seen and examined per nursing request for swelling of left parotid gland, nursing reports swelling was noted this morning, no report of fever, chills, SOB (shortness of breath). Assessment and Plan. Acute bacterial parotitis start patient on Augmentin twice a day for seven days, Florastor twice a day for ten days. Nursing to monitor patient and notify NP/MD (Medical Doctor) of worsening symptoms. Discussed with MD.</p> <p>Progress Note dated 5/24/17 E44 RN (Registered Nurse) documents in part noted (R13) Left jaw swollen. No redness noted. Small swelling noted. E35 NP (Nurse Practitioner) saw resident with new orders received noted and carried out.</p> <p>Progress Note dated 6/5/17 E44 RN documents in part, resident (R13) left jaw remains swollen. Z2 MD saw resident with new orders received, noted and carried out.</p> <p>Progress Notes dated 6/8/17 documents in part; Resident (R13) still with swelling of the left jaw. Still on oral antibiotics.</p> <p>Progress Note dated 6/12/17 by E35 NP documents in part; Resident (R13) seen and examined per nursing request for swelling of left</p>	S9999		

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S9999	<p>Continued From page 6</p> <p>parotid gland, patient was treated with Augmentin twice a day for seven days, swelling re-occurred seen by MD with another order for Augmentin twice a day for ten days, no report of fever, chills, SOB (shortness of breath). Ultrasound 6/1/17 normal left parathyroid gland.</p> <p>On 7/21/17 at 1:30 PM E35 NP stated in part; " R13's jaw was slightly swollen with no redness. R13 was put on Augmentin for seven days, it slightly improved, The MD added another ten days. When you looked at R13 it was hard to tell it was swollen. I never looked in R13's mouth."</p> <p>Progress Note dated 6/14/17 by E43 MD documents in part; Neck supple, no JVD (jugular vein distention) no lymphadenopathy. Writer of this note has no documentation related to R13's mouth or jaw swelling."</p> <p>On 7/26/17 at 1:15 PM E43 MD stated in part; " The nurse called me to see R13 about neck swelling. When I examined the neck it was supple. I didn't look in R13's mouth because I didn't have a flashlight."</p> <p>Progress Notes dated 6/14/17 by E44 RN documents E43 MD notified of R13's left jaw remains swollen after antibiotic treatment and warm compress orders completed. MD ordered dental consult. E1 Administrator spoke with dental office and will send Z16 Dentist or Z17 Dentist to see R13 tomorrow (6/15/17).</p> <p>Progress Note dated 6/15/17 documents in part; R13 is for (scheduled) dental consult due to swollen left jaw. R13's son requested for a hospital transfer to local hospital for further management. Notified MD via phone call with order of May (may) transfer out.</p>	S9999		
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S9999	<p>Continued From page 7</p> <p>On 7/26/17 at 10:30 AM E44 RN stated in part; " I spoke to the dental office with E1 Administrator on 6/14/17, they said they would send Z16 Dentist on 6/15/17 to see R13. I called the the office on 6/15 17 and they said they were still coming. Z16 Dentist never came, so the family requested R13 be sent out to the hospital.</p> <p>Review of local hospital Emergency Department records for R13 document in part; L (left) face distinct region of edematous mass, no skin erythema, just anterior to level of tragus and tracking inferiorly to level of mandible. Intraoral (mouth) region with lots of purulent whitish-yellow drainage and diffusely poor dentition, no distinct periapical flutuance or abscess; tonsils and uvula with slight edema, no distinct asymmetry or bulge. Tracheostomy with yellow white purulent discharge suctioned out. Concern for soft tissue infection of face that is odontogenic origin. Will admit for IV (intravenous) antibiotics for significant infection not improved on oral antibiotics as outpt (out patient). This patient requires care that is expected to transcend at least two nights. The patient's medical condition safety or health would be threatened if care was provided in a less intensive setting due to : Severity of signs and symptoms. Unstable condition and need for diagnostic studies and/or availability of diagnostic procedures at time and location of presentation. Pre-existing medical problems and/or extenuating circumstances. Failed out patient treatment.</p> <p>(B)</p>	S9999		