

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6004790	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 05/25/2017
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NAME OF PROVIDER OR SUPPLIER IROQUOIS RESIDENT HOME, THE	STREET ADDRESS, CITY, STATE, ZIP CODE 200 FAIRMAN AVENUE WATSEKA, IL 60970
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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S9999	<p>Final Observations</p> <p>STATEMENT OF LICENSURE VIOLATIONS:</p> <p>Section 300.661 Health Care Worker Background Check</p> <p>A facility shall comply with the Health Care Worker Background Check Act [225 ILCS 46] and the Health Care Worker Background Check Code (77 Ill. Adm. Code 955).</p> <p>Section 955.165 Fingerprint-Based Criminal History Records Check</p> <p>a) Educational entities, other than secondary schools, and health care employers are required to check the Health Care Worker Registry before allowing a student to enter a training program or hiring an employee to determine:</p> <p>1) Whether a fingerprint-based criminal history records check has previously been conducted, which is indicated by the identifier of "FEE_APP" or "CAAPP".</p> <p>b) If the individual has not had such a background check or is not active on the Health Care Worker Registry, then the health care employer must initiate a fingerprint-based criminal history records check.</p>	S9999		
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Attachment A
Statement of Licensure Violations

Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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S9999	<p>Continued From page 1</p> <p>c) Educational entities and health care employers shall conduct Internet searches on certain web sites, including without limitation the Illinois Sex Offender Registry, the Department of Corrections' Sex Offender Search Engine, the Department of Corrections' Inmate Search Engine, the Department of Corrections Wanted Fugitives Search Engine, the National Sex Offender Public Registry, and the website of the Health and Human Services Office of Inspector General to determine if the applicant has been adjudicated a sex offender, has been a prison inmate, or has committed Medicare or Medicaid fraud, or conduct similar searches as provided by the web-based application. (Section 15 of the Act)</p> <p>These requirements are not met as evidenced by:</p> <p>Based on record review and interview, the facility failed to conduct pre-employment screenings on three new hires. This has the potential to affect all 29 residents residing in the facility.</p> <p>Findings include:</p> <p>On 5/23/17 E13, Human Resource Director provided a list titled "(Certified Nursing Assistant) CNA Roster." E15 and E16, both Certified Nursing Assistants (CNAs) were documented with hire dates of 9/22/16 and 2/6/17 respectively. E13 also provided an untitled report documenting new hires with E4, Social Service Designee documented with a hire date of 3/20/17.</p> <p>E15's personnel file did not have any</p>	S9999		
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S9999	<p>Continued From page 2</p> <p>documentation of the six Sex Offender Website portals being checked on the Health Care Worker Registry.</p> <p>E16's personnel file did not have any documentation of the six Sex Offender Website portals being checked on the Health Care Worker Registry.</p> <p>E15's Time Card Report documents E15 working 119 days, totaling 1034.59 hours since E15's hire date of 9/22/16.</p> <p>E16's Time Card Report documents E16 working 60 days, totaling 497.25 hours since E16's hire date of 2/6/17.</p> <p>E4's personnel file did not contain documentation of a Health Care Worker Background Check, Health Care Worker Registry Check (including Sex Offender Websites) or documentation that a request for a fingerprint based background check (FEE_APP) had been completed.</p> <p>E4's Time Card documents E4 working 119 days, totaling 413.25 hours since E4's hire date of 3/20/17.</p> <p>On 5/24/17 at 10:00 am E13, Human Resource Director acknowledged there had not been any pre-employment screenings initiated for E4, Social Service Designee. E13 acknowledged there was no documentation of the Sex Offender Website checks in E15 and E16's files.</p> <p>On 5/25/17 at 12:30 pm E2, Director of Nursing acknowledged the above days and hours worked by E4, E15 and E16. E2 stated E4, E15 and E16 have access to all residents in the facility.</p>	S9999		
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S9999	<p>Continued From page 3</p> <p>The facility report titled "Census" dated 5/23/17 documents 29 residents residing in the facility.</p> <p>(B)</p> <p>Section 300.615 Determination of Need Screening and Request for Resident Criminal History Record Information</p> <p>e) In addition to the screening required by Section 2-201.5(a) of the Act and this Section, a facility shall, within 24 hours after admission of a resident, request a criminal history background check pursuant to the Uniform Conviction Information Act for all persons 18 or older seeking admission to the facility, unless a background check was initiated by a hospital pursuant to the Hospital Licensing Act. Background checks shall be based on the resident's name, date of birth, and other identifiers as required by the Department of State Police. (Section 2-201.5(b) of the Act)</p> <p>f) The facility shall check for the individual's name on the Illinois Sex Offender Registration website at www.isp.state.il.us and the Illinois Department of Corrections sex registrant search page at www.idoc.state.il.us to determine if the individual is listed as a registered sex offender.</p> <p>These requirements are not met as evidenced by the following:</p> <p>Based on record review and interview the facility failed to request a criminal history background check, failed to check a resident on the Illinois Sex Offender Registration and Department of Corrections sex registrant websites. This failure has the potential to affect all 29 residents residing</p>	S9999		
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S9999	Continued From page 4 in the facility. Findings include: R17's Face Sheet dated 5/19/17 documents R17 was admitted to the facility 5/19/17. R17's Criminal History Information Response Process dated 5/24/17, Illinois Sex Offender and Department of Corrections websites checks dated 5/24/17 document R17's background and sex offender checks were not requested nor performed until 5/24/17. On 5/24/17 at 3:26 pm, E14, Human Resources Coordinator, stated, "I just now ran (R17's) background check today. I didn't know (R17) was already in the facility. (E4, Social Services Director) just requested me to run it today." On 5/25/17 at 10:50 am, E4 stated, "I just initiated (R17's) background check yesterday." The facility's Resident Census and Conditions of Residents report dated 5/23/17 documents 29 residents reside in the facility. (B)	S9999			